Bond Case Briefs

Municipal Finance Law Since 1971

SIFMA Compliance & Legal Society San Francisco Regional Seminar.

We cordially invite you to attend the SIFMA Compliance & Legal Society San Francisco Regional Seminar to be held at Charles Schwab on Thursday, August 1st, 2013. This one day seminar will feature presentations by leading securities regulators and industry professionals.

TOPICS INCLUDE:

- AML – New trends and areas of focus
- Arbitration & Litigation Update
- "Where was Compliance?" – Keeping up with changing and conflicting priorities
- KYC & Suitability – Institutional & retail post implementation experiences
- Regulatory Priorities

August 1, 2013

Charles Schwab – 211 Main Street, San Francisco, CA 94105

Industry members – $ 150

Counsel members – $ 250

Special members – $ 250

Non-member firms – $ 450

Regulators – FREE

Early Bird Rates to End on July 10th

Register at:

https://mbrservices.net/ConferenceRegistration/MeetingRegistration.aspx?meetingid=1140&AdWordId=false

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