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SIFMA Compliance & Legal Society Charlotte Regional Seminar - September 30.

We cordially invite you to attend the SIFMA Compliance & Legal Society Charlotte Regional Seminar to be held at The Ritz-Carlton on September 30th, 2013. This one day seminar will feature presentations by leading securities regulators and industry professionals.

TOPICS INCLUDE:

- Hot Topics In Securities Enforcement
- Cybersecurity
- Compliance Framework for Bank Supervision
- Investment Advisors - New Issues and Challenges
- The Evolving Role of Compliance
- AML and FCPA

<https://mbrservices.net/ConferenceRegistration/MeetingRegistration.aspx?meetingid=1135>

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