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MSRB Proposal to Consolidate Guidance Under MSRB Rule G-17 Published in Federal Register.

The Municipal Securities Rulemaking Board (MSRB)'s request for approval from the Securities and Exchange Commission (SEC) of a package of rule proposals to consolidate existing interpretive guidance under MSRB Rule G-17 related to three key fair dealing obligations for municipal securities dealers has been published in the Federal Register. The proposals establish or revise stand-alone rules on time-of-trade disclosures to investors, dealings with sophisticated municipal market participants and suitability of recommendations.

Read the MSRB's rule filing in the Federal Register at:
http://www.gpo.gov/fdsys/pkg/FR-2013-10-22/pdf/2013-24549.pdf

The deadline for submitting comments to the SEC is November 12, 2013.

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