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Municipal Finance Law Since 1971

<u>Issuers: Verify the Professional Qualifications of Your Municipal Advisor.</u>

Working with a municipal advisor? Be sure to check their registration status and professional qualifications.

All municipal advisor firms must be registered with the Municipal Securities Rulemaking Board (MSRB) and the Securities and Exchange Commission (SEC).

View a list of all registered municipal advisor firms <u>here</u> or on the MSRB's website by clicking the Check Out Your Municipal Finance Professional button on the homepage, at msrb.org.

Municipal advisor professionals are also now required to take a professional qualifying examination developed by the MSRB. By September 12, 2017, every municipal advisory professional is expected to have taken and passed the MSRB's qualifying exam (Series 50) in order to continue providing municipal advisory services.

A <u>list</u> of associated persons at registered municipal advisor firms who have passed the Series 50 exam is available on the MSRB's website.

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