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MSRB Municipal Advisor Registration Compliance Webinar.

Municipal Advisor Registration Requirements and Process

Thursday, November 10, 2016
3:00 p.m. – 4:30 p.m. ET

During this free webinar, the Municipal Securities Rulemaking Board (MSRB), U.S. Securities and Exchange Commission (SEC) and Financial Industry Regulatory Authority (FINRA) will review the municipal advisor registration requirements and process. View the program agenda.

At the end of this webinar, participants will be able to:

- Determine the information required to complete the SEC registration Form MA-I and MSRB Form A-12
- Complete the SEC and MSRB municipal advisor registration processes; and
- Fulfill annual municipal advisor attestations

Register for the Webinar.

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