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MSRB Compliance Workshop - MSRB Rule G-42

MSRB Rule G-42: Understanding Advice and Recommendations

Friday, December 8, 2017 12:30 p.m. - 1:30 p.m. EST

As the first of a series of free virtual compliance workshops, MSRB staff will conduct an in-depth discussion about key provisions of MSRB Rule G-42 on Duties of Non-Solicitor Municipal Advisors related to advice and recommendations. This workshop will follow a question-and-answer format based on questions and suggestions from regulated entities and other stakeholders.

CPE Credit

This is a group-internet based event. Participants may receive one regulatory ethics continuing professional education (CPE) credit upon participation in the full webinar. The program level is "overview" and there are no prerequisites or advanced preparation required. The MSRB is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: www.learningmarket.org. For more information regarding MSRB administrative policies such as complaint, please contact our offices at (202) 838-1500.

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