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Compliance Workshop on MSRB Rules G-21 and G-40: Advertising by Dealers and Municipal Advisors.

November 08, 2018 | 3 PM - 4 PM ET

During this free virtual compliance workshop, MSRB staff will conduct an in-depth discussion about key provisions of MSRB Rule G-21, on advertising by dealers, and MSRB Rule G-40, on advertising by municipal advisors. This workshop will follow a question-and-answer format based on questions and suggestions from regulated entities and other stakeholders.

Register.

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