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FINRA Files for 4210 Effective Date Extension to March 2021.

FINRA has filed with the SEC a proposed rule change to extend (to March 25, 2021) the implementation date of the amendments to FINRA Rule 4210 (margin requirements).

This delay, as well as certain changes to the amendments, are in line with BDA's advocacy efforts and we appreciate all BDA members who helped drive those efforts.

The full notice and text are available here.

Bond Dealers of America

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