

Bond Case Briefs

Municipal Finance Law Since 1971

NABL: Ethical Lessons for Bond Counsel of the GIC Bid-Rigging Teleconference.

When: Thursday, November 21, 1:00-2:30 pm

Registration: \$195 for members; \$250 for non-members

What you will learn: Back by popular demand – a repeat of the popular session led by Howard Zucker, Hawkins Delafield & Wood LLP, at the 2013 Tax and Securities Law Institute, entitled, “Lessons for Bond Counsel of the GIC Bid Rigging Trails & Settlements”. The panelists include representatives from the IRS, the SEC, the Department of Justice, and NABL member Walter St. Onge, Edwards Wildman Palmer LLP. This teleconference explores the history of the Guaranteed Investment Contracts (GIC) bid-rigging enforcement actions, trials, and settlements, and the ethical lessons for Bond Counsel.

Moderator: Howard Zucker, Hawkins Delafield & Wood LLP

Panelists:

- Rebecca Meiklejohn, U.S. Department of Justice
- Todd Mitchell, IRS Office of Tax Exempt Bonds
- Michael Okubo, IRS Criminal Investigations Unit
- Walter J. St. Onge III, Edwards Wildman Palmer LLP
- Mark R. Zehner, SEC Office of Enforcement

CLE Information: NABL has applied for and anticipates receiving ethics CLE credit for between 1.5 and 1.8 hours in most states that accredit teleconferences. No credit will be available in Pennsylvania. Be sure to include your CLE states and identifying numbers on the registration form. Certificates of Attendance will be e-mailed to attendees following the program, and credit hours will be reported to those states that require sponsors to report credit, based on the telephone records, and where required, the attendance codes supplied during the program.

Register at: <http://www.nabl.org/education/event/teleconference-GIC?tab=registration>

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