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SIFMA Compliance and Legal Society Annual Seminar 2014.

March 30 – April 2, 2014

Grande Lakes

Orlando, FL

SIFMA's Compliance & Legal Society 46th Annual Seminar is the premier event that features more than 65 dynamic and informative panels. Don't miss your opportunity to engage with leading industry experts, discuss the latest regulatory developments and industry trends.

Program Topics

- Regulatory Developments: Hear It Directly From the Regulators
- Restoring Trust & Confidence to the Financial Services Industry
- Implementation of Legislative Changes: Dodd-Frank and Beyond
- Arbitration & Enforcement Developments
- Retail Compliance & Advisory Issues
- Social Media & New Technologies
- Ethical Considerations for Lawyers and Compliance Professionals
- Update on Trading Issues
- Current Issues in Bank Regulation
- ...and much more!

Registration and Hotel questions? E-mail the C&L Society or call 212.313.1230

Sponsorship questions? Contact Diana Serri

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