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Municipal Time of Trade Disclosure & Suitability: A Paper Prepared by Lumesis Inc.

Prepared by BDA member firm Lumesis Inc., this paper focuses on the content and nuances of the new rules around time of trade disclosure, the amended rule regarding suitability and explores how technological innovation has and will impact compliance and business practices

Rules focused on time of trade disclosures to investors, dealers' dealings with sophisticated municipal market professionals and suitability of dealers' recommendations of municipal securities transactions will be effective July 5, 2014.

The executive summary is available [here](#).

Lumesis is making this paper available to all BDA Member firms. It can be found in the [Members Resources section](#) under Best Practices.

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