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MSRB Reminder: Resources Available for Municipal Advisors.

The Securities and Exchange Commission's (SEC) final municipal advisor registration rule takes effect today, July 1, 2014. Before engaging in municipal advisory activities, municipal advisors must register with the SEC and the Municipal Securities Rulemaking Board (MSRB). To assist municipal advisors in staying apprised of developing regulatory initiatives, the MSRB regularly updates a dedicated page on its website and provides numerous resources for municipal advisors. Access News and Resources for Municipal Advisors.

Municipal advisors can also <u>sign up to receive</u> regulatory notices and other information from the MSRB. Please note that the primary regulatory contact at each MSRB-registered municipal advisor firm automatically receives regulatory notices from the MSRB.

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