

Bond Case Briefs

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BDA Submits Comment Letter to the SEC: Proposed New Rule G-44, on Supervisory and Compliance Obligations of Municipal Advisors.

The BDA submitted a letter to the SEC on a proposed New Rule G-44, on supervisory and compliance obligations of municipal advisors; proposed amendments to Rule G-8, on books and records; and proposed amendments to Rule G-9, on preservation of records.

The final letter focuses on:

- A Request for Minimum Standards for all Municipal Advisors;
- The Importance of Self-Certification;
- Outsourcing of the CCO Function;
- Concerns with the Implementation Date.

You can view our final letter [here](#).

You can view the MSRB's submission of the proposed new rule to the SEC in the Federal Register [here](#) and BDA's previous letter to the MSRB on the same topic [here](#).