

Bond Case Briefs

Municipal Finance Law Since 1971

MSRB Creates Supervision and Compliance Requirements for Municipal Advisors.

The Municipal Securities Rulemaking Board (MSRB) received approval from the Securities and Exchange Commission (SEC) to create the first new rule for municipal advisors since the SEC released its final registration rule for these professionals in September 2013. New MSRB Rule G-44 establishes baseline supervisory and compliance obligations for municipal advisors. [View the SEC approval order.](#)

The new supervision requirements take effect April 23, 2015. The MSRB will announce details of a webinar on the key provisions in advance of the effective date.

[Read the regulatory notice.](#) [View the full press release.](#)