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MSRB Reminds Dealers of the January 1, 2015 Effective Date of Amendments to MSRB Rule G-3 on Firm Element Continuing Education.

The Municipal Securities Rulemaking Board (MSRB) reminds municipal securities dealers (dealers) that amendments to MSRB Rule G-3 regarding Firm Element Continuing Education become effective on January 1, 2015. Each municipal securities dealer must comply with the new requirements by December 31, 2015 and each year thereafter. The rule amendments require certain persons registered with dealers to participate in annual firm training on municipal securities matters. The new requirements are designed to prompt firms to deliver municipal securities training to those registered persons who are regularly engaged in or supervise municipal securities activities.

Read the approval notice.

Listen to a recording of a webinar about the rule changes.

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