

# **Bond Case Briefs**

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## **NABL MCDC Initiative Observations Survey - Complete by Friday**

The Securities and Exchange Commission announced its Municipalities Continuing Disclosure Cooperation (MCDC) Initiative on March 10, 2014. Under the terms of the Initiative, the SEC asked underwriters and issuers/obligated persons to self-report potential violations of securities laws regarding misstatements in offering documents regarding prior compliance with continuing disclosure undertakings.

This survey is intended to gather information regarding NABL members' participation in the MCDC Initiative, observations regarding the process and time invested as part of the MCDC Initiative. Please respond to each question based on your observations and best estimates. This survey is being done on an anonymous basis. NABL will compile the results and share a summary of the responses with members at a later date. We may also publish general observations and the summary results, but this will be done strictly without attribution to any individual member.

Please complete the [survey](#) no later than Friday, January 9.

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