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MSRB Reminds Municipal Advisors of the April 23, 2015 Effective Date of Amendments to MSRB Rule G-44 on Supervisory and Compliance Obligations.

The Municipal Securities Rulemaking Board (MSRB) reminds municipal advisors that amendments to MSRB [Rule G-44](#) regarding supervisory and compliance obligations of municipal advisors, and related amendments to MSRB [Rule G-8](#), on books and records, and MSRB [Rule G-9](#), on preservation of records become effective on April 23, 2015. Municipal advisors are required to establish, implement and maintain a system to supervise their municipal advisory activities and those of their associated persons that is reasonably designed to achieve compliance with all applicable securities laws and regulations.

[Read the approval notice.](#)

[View a recording of a webinar about the rule changes.](#)

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