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MSRB: New Compliance Advisory Available.

The MSRB recently published its 2016 Compliance Advisory for Municipal Advisors as part of an ongoing effort to assist municipal advisors with understanding and implementing new regulations. The advisory highlights compliance risks and provides an overview of currently effective rules, examples of conduct violations and factors municipal advisors should consider when evaluating their compliance programs.

Read the 2016 Compliance Advisory for Municipal Advisors.

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