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MSRB Seeks to Establish Continuing Education Requirements for Municipal Advisors.

The Municipal Securities Rulemaking Board (MSRB) today filed with the Securities and Exchange Commission amendments to MSRB Rule G-3, on professional qualification requirements.

The proposed amendments would establish continuing education (CE) requirements for municipal advisors and would require implementation of a continuing education training program for individuals qualified as municipal advisor representatives. The proposed amendments seek to avoid unnecessary regulatory overlap with existing CE requirements for municipal securities dealers, which may also act as municipal advisors.

The filing also includes accompanying amendments to MSRB Rule G-8, on books and records to be made by brokers, dealers and municipal securities dealers and municipal advisors.

Read the SEC filing.

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