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Reminder: Compliance Outreach Program for Municipal Advisors.

Wednesday, November 8, 2017

9:00 a.m. - 4:00 p.m. ET

Join the SEC, MSRB and FINRA for the Compliance Outreach Program for Municipal Advisors. Topics of discussion will focus on the duties and standards of conduct for non-solicitor municipal advisors under MSRB Rule G-42 and the Securities and Exchange Act of 1934, as well as municipal advisor compliance with rules concerning supervision, registration and books and records.

[View the agenda.](#)

[Register to attend in person.](#)

[Register to attend via webcast.](#)

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