

Bond Case Briefs

Municipal Finance Law Since 1971

Reminder: Register for the Joint Compliance Outreach Program for Municipal Advisors.

Compliance Outreach Program for Municipal Advisors

Join the SEC, MSRB and FINRA for the Compliance Outreach Program for Municipal Advisors. Topics of discussion will focus on the duties and standards of conduct for non-solicitor municipal advisors under [MSRB Rule G-42](#) and the Securities and Exchange Act of 1934, as well as municipal advisor compliance with rules concerning supervision, registration and books and records. [View the agenda.](#)

[Register to attend in person.](#)

[Register to attend via webcast.](#)

Date: Wednesday, November 8, 2017

Time: 9:00 a.m. – 4:00 p.m. ET

Location: SEC's Atlanta Regional Office
(also available via webcast)