

# Bond Case Briefs

*Municipal Finance Law Since 1971*

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## NABL Ethics Teleconference: Risk - The Game of Reduction of Professional Exposure, Loss Prevention, and Bond Counsel Liability.

**Thursday, December 14, 2017 ☐ 1:00-2:30 pm Eastern**

### **Registration Fees:**

- ▶ \$195 for members
- ▶ \$400 for non-members.

Register [online here](#), or [download](#) the printed registration form.

**Description:** This teleconference will include a practical discussion of how bond counsel can be exposed to potential professional liability. The panel will focus on conflicts of interest, the necessity and benefits of utilizing an engagement letter and other Model Rules that bond counsel need to consider in any engagement. Through interactive discussion and case studies, the panel will illustrate conflict scenarios, whether the conflicts can be waived, and the process of waiving a conflict of interest. The panelists will also discuss and employ hypotheticals to explain what should be included in an engagement letter and will review the NABL Model Engagement Letter. The teleconference will also include a malpractice insurance representative from Attorneys' Liability Insurance Society (ALAS) to give a unique perspective on liability scenarios. The panelists will also discuss the expanding role of bond counsel and how that expanding role can impact professional exposure and loss prevention. Additional topics of client confidentiality and data security and how breaches in a firm's network can expose you to professional liability will be covered.

### **Moderator:**

Tim Stratton (Gust Rosenfeld P.L.C.)

### **Panelists:**

Deanna Gregory (Pacifica Law Group)  
Drew Kintzinger (Hunton & Williams)  
Randy Curato (ALAS)

**CLE Information:** NABL has applied for and anticipates receiving ethics CLE credit for between 1.5 and 1.8 hours in most states that accredit teleconferences. No credit will be available in Pennsylvania. To obtain CLE credit you must pay the CLE fee listed below and fill out the payment form. Be sure to include your CLE states and identifying numbers on the payment form. Certificates of Attendance will be e-mailed to you, and credit hours will be reported to those states that require sponsors to report credit.

**Deadline:** The registration deadline is Monday, December 11, 2017. All registrants will receive the teleconference materials and dial-in instructions via email on Wednesday, December 13, 2017.

If you have a question(s) that you would like addressed on the teleconference, email them to

[comments@nabl.org](mailto:comments@nabl.org) before or during the call. The panelists will try to incorporate the responses throughout the teleconference.

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