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MSRB Proposes Additional Qualification Requirements For Municipal Advisor Principals.

The MSRB proposal to amend <u>Rule G-3</u> to establish additional qualification requirements for municipal advisor principals was published in the Federal Register. Comments on the proposal must be submitted by October 30, 2018.

As <u>previously covered</u>, the proposed amendments would (i) establish the Municipal Advisor Principal Qualification Examination (the "Series 54 examination") and (ii) require those persons who meet the definition of a municipal advisor principal to pass the Series 54 examination prior to becoming municipal advisor principals.

The content of this article is intended to provide a general guide to the subject matter. Specialist advice should be sought about your specific circumstances.

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