

Bond Case Briefs

Municipal Finance Law Since 1971

MSRB Podcast: Municipal Advisor Considerations in Preparing for Examination

Municipal advisors are periodically examined by the U.S. Securities and Exchange Commission, the Financial Industry Regulatory Authority, Inc. and other regulatory authorities for compliance with Municipal Securities Rulemaking Board rules. This episode discusses considerations for municipal advisors when preparing for a review by an examining authority.

[Listen to the Podcast.](#)

6/18/2019

Copyright © 2026 Bond Case Briefs | bondcasebriefs.com