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- Another Abuse Of The EMMA Municipal Reporting System.
- SEC Division of Examinations Announces 2021 Exam Priorities: DLA Piper
- FINRA Requests Comment on Rule 4210 – Follows BDA Member Recommendations.
- SIFMA Amicus Brief: Walters, et al. v. J.P. Morgan Chase & Co., et al.
- BofA Widens Lead in Muni Business With Almost 20% Market Share.
- NABL U Now Webinar on Information Reporting – CLE Opportunity
- And finally, Whoa Man, That's Deep is brought to us this week by *Hicks v. KMD Investment Solutions, LLC*, which featured the following exchange: "Q: Did you have to be a trained engineer in order to see a flat spot? A: I don't think so, no, sir. Wasn't "It Doesn't Take a Trained Engineer to See a Flat Spot," like, a Bob Dylan song or something?

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