Bond Case Briefs

Municipal Finance Law Since 1971

BDA's Fixed Income Leadership Three-Part Webinar Series is NEXT WEEK.

September 9-10, 2021

Thursday, September 9

Time - TBD

Corporate Market Structure, New Technologies, and Liquidity Providers

The conversation will focus on the evolution of electronic trading since the pandemic began, trading protocol usage, the role of non-bank liquidity providers, and what to watch in the coming year.

- Kevin McPartland, Coalition Greenwich
- David Parker, MTS Markets
- Jim DiMonte, KeyBanc Capital Markets

Friday, September 10

10:00 am ET

Bond Market Regulation - 4210, G13, 15c2-11, Muni Advisor Rule, Corporate Syndicate Rule, Remote Work

Hear from senior staff of the SEC, FINRA, and MSRB on hot topics in fixed income regulation, compliance, and enforcement. We will examine issues like FINRA's margin rule, MSRB Rule G-13 and the recent related compliance case, SEC Rule 15c2-11, and other key topics. Dan Deaton, partner at BDA member firm Nixon Peabody, will provide commentary.

- Rebecca Olsen, SEC
- Cindy Friedlander, FINRA
- Gail Marshall, MSRB
- Dan Deaton, Nixon Peabody
- Moderated by Michael Decker, BDA

Friday, September 10

11:00 am ET

Municipal Markets 2021 - Credit Conditions and Issuance Expectations, plus What to Expect from Washington, $\ensuremath{\text{DC}}$

We will look into current credit conditions and issuance expectations as localities continue to recover from the COVID-19 pandemic and what we can expect for the remainder of the year. The discussion will also provide a legislative update and the current status of key muni legislation in DC.

- Tom Kozlik, HilltopSecurities
- Stephen Winterstein, MarketAxess
- Brett Bolton, BDA

Registration Fees

*For full series of all three webinars

Single User - \$95 Firm Enterprise Fee (up to 50 weblinks per firm) - \$300

<u>Click here</u> to register.

Please contact Rebecca Cooke-Rodriguez if you would like to change your registration at rcrodriguez@bdamerica.org

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