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Proposed Changes to FINRA Expungement Rules: SIFMA Comment Letter

SIFMA provided comments to the U.S. Securities and Exchange Commission (SEC) on FINRA's proposed rule changes to the Code of Arbitration Procedure relating to requests to expunge customer dispute information from the Central Registration Depository (CRD) and FINRA BrokerCheck.

View the SIFMA Comment Letter.

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