Bond Case Briefs

Municipal Finance Law Since 1971

- FINRA Proposes Expanding the Application of FINRA Rules to Government Securities.
- S&P Methodology For Rating U.S. Public Finance Mortgage Revenue Bond Programs.
- Fitch: Inflation To Pressure U.S. Public Finance Before Long
- City Finances Are Stronger, but Uncertainty Lies Ahead.
- Texas School Asks UBS for Refund After Energy Boycotter Label.
- *U.S. Securities & Exchange Commission v. Murphy* – Court of Appeals holds that investor's knowing provision of false zip codes to municipal bond underwriters to obtain highest retail priority for purchase of municipal bonds were "material misrepresentations" in violation of § 10(b) and Rule 10b-5, though investor asserted that underwriters had actual knowledge of her real zip code provided on her account registration forms and that there was no evidence underwriters submitted false zip codes to issuers.
  And finally, New York Court of Appeals – Department of Family & Marriage Therapy is brought to us this week by *West 49th Street, LLC v. O'Neill*, in which the court inadvertently provided us all with the template for a successful relationship when it detailed that the couple in question – who had happily been together for 25 years – had, "never comingled their finances or jointly owned real or personal property, held themselves out as a family unit, executed documents formalizing legal obligations, jointly celebrated most major holidays, or attended important events with each other's families." We ran the numbers and that checks out.

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