

# **Bond Case Briefs**

*Municipal Finance Law Since 1971*

---

## **SEC Announces Record-Setting Enforcement Results for Fiscal Year 2023: Holland & Knight**

The SEC's Division of Enforcement recently announced its Fiscal Year (FY) 2023 results, touting a record-setting year. Rather than repeat Enforcement's detailed report available [here](#), we boil the data down to give our readers a sense of the scale and magnitude of Enforcement's efforts and outcomes between Oct. 1, 2022, and Sept. 30, 2023. And though Enforcement does not publicly report statistics relating to the investigations it closed without action, the actions it did file - and the themes the Report highlights - underscore that the agency is committed to using all the tools at its disposal to enforce the law, from offering and accounting fraud, insider trading and disclosure cases to pioneering forays into environmental, social and governance (ESG), cybersecurity and crypto enforcement.

[Continue reading.](#)

### **Holland & Knight SECond Opinions Blog**

Brandon Len King | Kayla Joyce | Jessica B. Magee | Scott Mascianica

Nov 21, 2023

Copyright © 2025 Bond Case Briefs | [bondcasebriefs.com](https://bondcasebriefs.com)